

RECEIVED



## FINANCIAL DISCLOSURE STATEMENT

State Form 40876 (R10 / 7-06)

OFFICE OF THE INSPECTOR GENERAL

IC 4-2-6-8

JAN 28 2010

For the calendar year

2009

OFFICE OF THE INSPECTOR GENERAL

☐ Check if this is an amendment to your current statement.

Please read guidelines on page 4.

Name (last) Daniels, Jr.	Name (first) Mitchell	Name (middle) E.
Spouse's name (last) Daniels	Name (first) Cheri	Name (middle)
Office address (number and street) Statehouse, Room 206	City Indianapolis	ZIP code 46204
Office telephone number (317) 232-4567	Email address (required) mdaniels@gov.in.gov	

I am filing this statement as a: (please select one)		<input type="checkbox"/> Candidate for office	<input checked="" type="checkbox"/> Incumbent officeholder	<input type="checkbox"/> State employee
Office or agency Governor	Job title Governor			

EACH PART MUST BE ANSWERED. WORDS IN **BOLD ITALICS** ARE INCLUDED IN THE DEFINITIONS.

If you have information to report below, select YES. If no information, select NO.			<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
PART 1 - GIFTS				
List the name and address of any <b>person</b> known to have a <b>business relationship</b> with the agency of the state officer or employee or the office sought by the candidate, and from whom the state officer, candidate, or the employee, or that individual's spouse or unemancipated children received a <b>gift</b> or gifts having a total fair market value in excess of one hundred dollars (\$100).				
Name (last)	Address (city)		ZIP code	
Name (last)	Address (city)		ZIP code	
Name (last)	Address (city)		ZIP code	

If you have information to report below, select YES. If no information, select NO.		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
PART 2 - REAL PROPERTY INTERESTS			
List the location of all real property in which you, your spouse, or your unemancipated children have equitable or legal interest either amounting to five thousand dollars (\$5,000) or more or comprising ten percent (10%) of your net worth or the net worth of your spouse or your unemancipated children. You need not include your residence unless it also serves as income property.			
Property and its location Greenbrier, 4 Copeland Hill, White Sulphur Springs, WV 24986			
Property and its location			
Property and its location			

If you have information to report below, select YES. If no information, select NO.		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
PART 3 - NON-STATE EMPLOYERS			
List the name of your <b>employer(s)</b> and the employer(s) of your spouse and the nature of each employer's business.			
Your employer		Nature of business	
Spouse's employer		Nature of business	

If you have information to report below, select YES. If no information, select NO. ☐ Yes ☒ No

**PART 4 - SOLE PROPRIETORSHIP OR PROFESSIONAL PRACTICE**

List any sole proprietorship owned or professional practice operated by you or your spouse and the nature of the business.

Name of your business	Nature of business
Name of spouse's business	Nature of spouse's business

Do any clients for these businesses listed above have a **business relationship** with your agency (or in the case of a candidate, with the office sought)?

☐ Yes ☐ No

List the name of any client or customer from whom you or your spouse received more than thirty-three percent (33%) of your (or your spouse's) non-state income in a year.

If you have information to report below, select YES. If no information, select NO. ☒ Yes ☐ No

**PART 5 - PARTNERSHIPS**

List any partnership in which you or your spouse is a member and the nature of the partnership business.

Name of partnership Daniels Associates, LLC	Nature of partnership investment
Name of spouse's partnership Daniels Associates, LLC*	Nature of spouse's partnership investment

If you have information to report below, select YES. If no information, select NO. ☐ Yes ☒ No

**PART 6 - OFFICER OR DIRECTOR OF CORPORATION**

List the name of any corporation in which you or your spouse is an officer or director and the nature of the corporation's business. Churches need not be listed.

Name of corporation	Nature of business
Name of spouse's corporation	Nature of spouse's business

If you have information to report below, select YES. If no information, select NO. ☒ Yes ☐ No

**PART 7 - STOCKHOLDER OF CORPORATION**

List the name of any corporation in which you, your spouse, or your unemancipated children own stock or stock options having a fair market value in excess of ten thousand dollars (\$10,000). A time or demand deposit in a financial institution or insurance policy need not be listed.

Name of corporation	Your's	Spouse's	Children's
see attachment	X		
see attachment		X	
All other assets held in Blind Trust*			

If you have information to report below, select YES. If no information, select NO. ☒ Yes ☐ No

**PART 8 - MOST RECENT EMPLOYER**

List the name and address of your most recent former employer.

Name of your most recent former employer Executive Office of the President	Street address (number and street) White House		
	City Washington	State DC	ZIP code 20500

### COMMENTS

Please place any comments in the fields below.

\*Cheri Daniels gifted her interests in Daniels Associates, LLC  
in November, 2009.

\*Blind Trust - Trust Administrator is The Trust Company of Oxford,  
Lora White, Trust Officer.

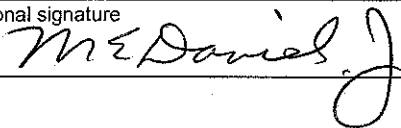
### AFFIRMATION

I swear or affirm, under the penalty of perjury, that the facts as presented on this Financial Disclosure Statement are true, complete, and correct to the best of my knowledge and belief.

I understand that I may file an amended statement upon discovery of additional information required to be reported.

I acknowledge awareness of Indiana Code 4-2-6-8(d) under which a failure to file in a timely manner or filing a deficient statement is subject to a civil penalty at the rate of not more than **ten dollars (\$10) for each day the statement remains delinquent or deficient. The maximum penalty under this subsection is one thousand dollars (\$1,000).** I also acknowledge awareness of Indiana Code 4-2-6-8(e) under which a person who intentionally or knowingly files a false statement commits a class A infraction.

Personal signature



Date signed (month, day, year)

1/26/10

Mail or deliver to the following address:

Office of the Inspector General  
150 West Market Street, Suite 414  
Indianapolis IN 46204-2026  
Telephone: (317) 232-3850

**Mr. Mitchell E. Daniels, Jr.**

State Form 40876

(Stockholder of Corporation)

2009

Cash Equivalents

Owner

IU Credit Union  
Bank of Indianapolis

Mitch  
Mitch

Mutual Funds

JP Morgan Large Cap Growth Class A  
JP Morgan Large Cap Value Class A  
JP Morgan Market Expansion Index Class A

Cheri  
Cheri  
Cheri

Retirement Plans

Lilly 401(k)  
    International Stock  
    US Small Company  
    Stable Income Fund  
    US Large Company S&P 500 Index  
Lilly Excess Plan  
    US Large Company S&P 500 Index  
TCO SEP/IRA Rollover  
    Fidelity Institutional Mny Mrkt Cl I (Trsy)  
    Ishares Russell 1000 Growth Index  
    Ishares Russell 2000 Index  
    Fairholme Fund  
    Vanguard Inflation Protected Sec Adm

Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Mitch

State of IN 457 Retirement Plan  
    Black Rock Large Cap Value  
    Fidelity Diversified International  
    Vanguard Institutional Index  
    Wells Fargo Advantage Capital Growth I  
Chase Rollover IRA  
    AIM Charter Fund Class A  
    AIM Constellation Fund Class A

Mitch  
Mitch  
Mitch  
Mitch  
Mitch  
Cheri  
Cheri  
Cheri

Daniels Associates, LLC

Fidelity Institutional Mny Mrkt CII (Gov't)	Mitch
Fidelity Institutional Mny Mrkt CII (Trsy)	Mitch
Aston/River Small Cap Value Fund	Mitch
William Blair International Growth Fund	Mitch
Columbia Value and Restructuring Fund	Mitch
Credit Suisse Commodity Return Fund	Mitch
American Funds Growth Fund Class F2	Mitch
Leuthold Asset Allocation Fund	Mitch
RS Emerging Markets A Fund	Mitch
Royce Value Plus Investor Fund	Mitch
Third Avenue Value Fund	Mitch
Vanguard Total Stock Market Index Fund	Mitch
Brandes Instl International Equity Fund	Mitch
PIMCO Emerging Local Bond Fund	Mitch
Vanguard Inflation Protected Sec Adm	Mitch
Vanguard Intermediate-Term Tax-Exempt Fund	Mitch